

# **Grievances / Complaints Redressal Mechanism**

Finance First Advisers is committed to resolving all grievances or complaints from clients within the timelines as specified by SEBI.

In case of any grievance/ complaint against Finance First Advisers, as a first step, the client shall contact anyone of the partners, Arvinder Jit Singh or Nandlal Bhatkar through mobile or email, details given below at the footnote. Finance First shall endeavour to resolve the grievance/ complaint in 15 days and in case of delay, shall keep him informed of the progress.

In case, the client is not satisfied with Finance First response, the client may follow any or all of the below options available for registering the complaint with the Regulator/ Arbitrator.

## 1. Contact SEBI

The client may write to any of the offices of SEBI. He may contact SEBI at the following office address: Plot No. C 4-A, G Block, Bandra Kurla Complex, Bandra East, Mumbai- 400051, Ph-022 26449000 or on Toll Free Helpline at 1800 22 7575/ 1800 266 7575.

## 2. Lodge complaint on SCORES

The client can lodge the grievances with SEBI at website for SEBI Complaints Redress System (SCORES) http://scores.gov.in

### 3. Arbitration through SMART ODR Portal

In case of no resolution or client not being satisfied with the response of Finance First relating to any transaction or the construction, performance, or breach of this or any other agreement, the client can approach the Arbitrator through the ODR portal.

SEBI has established a common Online Dispute Resolution Portal ("ODR Portal") which harnesses conciliation and online arbitration for resolution of disputes arising in the Indian Securities Market. ODR Portal (Securities Market Approach for Resolution through ODR Portal) can be accessed via the following link - <a href="https://smartodr.in/">https://smartodr.in/</a>

#### Disclaimers:

- 1. This information is only for consumption by client and such material should not be redistributed.
- 2. Investments in securities markets are subject to market risks. Read all related documents carefully before investing.
- Registration granted by SEBI, membership of BASL and certification from National Institute of Securities Markets (NISM) in no way guarantee performance of the intermediary or provide any assurance of returns to investors.

#### **Finance First Advisers**